

Coverdell ESA Account Opening Instructions

Required Forms and Agreements

Please print legibly – do not use correction fluid or cross out information.

1) Brokerage Account Application

- **Applicant** – Minor or Protected Person's information.
- **Co-Applicant** – Responsible Individual's information.
- **Signatures** – Responsible Individual must sign.

Note: Make sure you complete page 2 of the application.

2) Brokerage Account Agreement

Before signing the Application, read the Agreement and retain a copy for your records. You do not need to return this document.

3) Coverdell ESA Agreement and Disclosures

Before signing the Application, read the Agreement and retain a copy for your records. You do not need to return this document.

4) Privacy Statement

Please read this document and retain a copy for your records. You do not need to return this document.

The image shows a Scottrade Brokerage Account Application form with several white boxes highlighting specific sections:

- Minor or Protected Person's Information including street address and SSN.** (Points to the Applicant section)
- Responsible Individual's Personal Information.** (Points to the Co-Applicant section)
- Leave Employment blank.** (Points to the Employment section)
- To be completed by Responsible Individual.** (Points to the Signature section)
- These account types don't offer margin** (Points to the Margin section)

Other visible text on the form includes: "Scottrade BROKERAGE ACCOUNT APPLICATION", "Select an Account:", "How did you hear about us?", "Coverdell ESA", "Investment Club", "Update Acct #", "Non-Corporate Org", "Under penalties of perjury, I certify that:", "By signing this Application, I acknowledge that I have received, read and agree to abide by the terms of the accompanying Brokerage Account Agreement, which contains a pre-dispute arbitration clause on page 4 at section 29.", "Margin - sign below ONLY if you are applying for margin privileges", "By signing this Application I acknowledge that I have received, read and agree to abide by the terms of the provisions starting at Section 55.", "Registered Principal", and "SF1000* SF1000/1-11".

Available Account Features

Restrictions may apply; contact your local branch office to confirm eligibility.

- Account Linking
- Bank Deposit Program
- Trading Authorization
- TOD Beneficiary Plan
- Options Trading
- Scottrade Bank Account
- Margin
- Online Money Direct® Deposits (ACH)