

Custodial, Conservatorship or Guardianship Account Opening Instructions

Conditions to Open the Account

For Conservatorship/Guardianship Accounts only – all applicants must be named on the court appointment letter; trade authorization is not allowed.

Required Forms and Agreements

Please print legibly – do not use correction fluid or cross out information.

1) Brokerage Account Application

- **Applicant** – Minor or Protected Person's Information.
- **Co-Applicant** – Custodian, Conservator or Guardian's information.
- **Signatures** – Custodian, Conservator or Guardian signs as Co-Applicant.

2) Brokerage Account Agreement

Before signing the Application, read the Agreement and retain a copy for your records. You do not need to return this document.

3) Privacy Statement

Please read this document and retain a copy for your records. You do not need to return this document.

Additional Documentation

- A copy of court appointment of conservatorship/guardianship is required (must be dated within the last 12 months).
- If it states document only valid with seal, the seal must be visible.
- If multiple guardians are named, all must be included on the application unless granted abilities to act independently by the court appointment letter.

Available Account Features

Restrictions may apply; contact your local branch office to confirm eligibility.

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| <input checked="" type="checkbox"/> Account Linking – Custodial Only | <input type="checkbox"/> TOD Beneficiary Plan |
| <input checked="" type="checkbox"/> Bank Deposit Program – Custodial Only | <input checked="" type="checkbox"/> Options Trading – Covered Call Writing Only |
| <input type="checkbox"/> Scottrade Bank Account | <input checked="" type="checkbox"/> Trading Authorization – Custodial Only |
| <input type="checkbox"/> Margin | <input type="checkbox"/> Online Money Direct® Deposits (ACH) |