

Individual or Joint Account Opening Instructions

Includes Joint Tenants, Tenants in Common, Tenants by Entirety & Community Property

Conditions to Open a Joint Account

- Up to three people may be on the account.
- **Joint Tenants (with rights of survivorship)** – Upon the death of one account holder, the surviving account holder receives all assets in the account.
- **Tenants in Common** – Upon the death of one account holder, the deceased's percentage of assets goes to his/her estate according to the decedent's will.
- **Tenants by Entirety*** – Must be husband and wife; assets are transferred to the survivor. There are restrictions on transfer in the event of divorce.
- **Community Property*** – Must be husband and wife; upon the death of one account holder, one-half of assets go to his/her estate according to the decedent's will.

*Tenants by Entirety and Community Property are not recognized in all states.

Required Forms and Agreements

Please print legibly – do not use correction fluid or cross out information.

1) Brokerage Account Application

- **Applicant** – Individuals' personal information with SSN.
- **Co-Applicant** – Leave blank for an Individual account. For a Joint account include Co-Applicant's personal information, with SSN.
- **Additional Applicant** – 3rd Applicant's personal information with SSN.
- **Signatures** – Individual's Signature; Co-Applicant's Signature if Applicable.

2) Brokerage Account Agreement

Before signing the Application, read the Agreement and retain a copy for your records. You do not need to return this document.

3) Privacy Statement

Please read this document and retain a copy for your records. You do not need to return this document.

Scottrade
BROKERAGE ACCOUNT APPLICATION

Select an Account: Individual, Trust, Estate, Joint-type, Partnership-type, Custodial, Conservatorship/Guardianship, IRA-type, Corporate-type, Qualified Plan-type, Coverdell ESA, Investment Club, Update Acct.#, Non-Corporate Org.

Applicant Information about the primary account holder...
Co-Applicant Information about the secondary account holder...
To be completed by the individual.
Leave blank for an Individual account. For a Joint account include Co-Applicant's personal information, with SSN.

Additional Services: Margin, Options, Account Transfer.
 Under penalties of perjury, I certify that:
 By signing this Application, I acknowledge that I have received, read and agree to abide by the terms of the accompanying Brokerage Account Agreement, which contains a pro-dispute arbitration clause on page 4 at section 28.

Individual's Signature _____ Co-Applicant's Signature if Applicable _____
 Applicant/Authorized Person's Signature Date Co-Applicant/Authorized Person's Signature Date

Margin - sign below ONLY if you are applying for margin privileges.
 By signing the Application I acknowledge that I have received, read and agree to abide by the terms of the accompanying Brokerage Account Agreement, including the Margin Accounts provisions starting at Section 55.

SF1000
 Individual's Signature _____
 If applying for margin, Co-Applicant's Signature if Applicable _____
 For Scottrade Use Only
 Registered Principal _____

Additional Documentation

- Transfer on Death Agreement – Please contact your local branch office for specific requirements of eligible accounts.

Available Account Features

Restrictions may apply; contact your local branch office to confirm eligibility.

- Account Linking
- Bank Deposit Program
- Margin
- Online Money Direct® Deposits (ACH)
- Scottrade Bank Account
- Internet Trading
- Options Trading
- TOD Beneficiary Plan
- Trading Authorization