

LLC Account Opening Instructions

Required Forms and Agreements

Please print legibly – do not use correction fluid or cross out information.

1) Brokerage Account Application

- **Applicant** – Company's information.
- **Co-Applicant** – Trading Manager/Member's personal information.
- **Signatures** – Trading Manager/Member signs as Co-Applicant
- **Additional Trading Manager/Member** – up to two additional Trading Manager/Members may be added to the account by submitting a second Brokerage Account Application with each Manager/Member's information and signature.
- **Non-Manager/Member** – must submit Trading Authorization form in order to place trades for the account.

2) Brokerage Account Agreement

Before signing the Application, read the Agreement and retain a copy for your records. You do not need to return this document.

3) Privacy Statement

Please read this document and retain a copy for your records. You do not need to return this document.

4) LLC Certification

All Manager/Members must sign.

Scottrade
BROKERAGE ACCOUNT APPLICATION

Applicant
Name of Individual/Organization - If an individual, list first, middle & last names
Street Address - P.O. box or PO address not permitted
City State ZIP plus 4 (legal residence)
Account Email Address
Phone Numbers - Home, Cell, Work
Social Security/Tax ID #
Date of Birth
Are you a U.S. citizen?
Employment Information
Country of residence
Are you a permanent U.S. resident?
Are you a U.S. resident?
Employment
Additional Services
Margin - sign below ONLY if you are applying for margin privileges
By signing the Application I acknowledge that I have received, read and agree to abide by the terms of the Brokerage Account Agreement, which contains a pre-dispute arbitration clause on page 4 at section 29.

Co-Applicant
Name First Middle Last
Street Address - P.O. box or PO address not permitted
City State ZIP plus 4 (legal residence)
Country of residence
Are you a permanent U.S. resident?
Are you a U.S. resident?
Employment
Additional Services
Margin - sign below ONLY if you are applying for margin privileges
By signing the Application I acknowledge that I have received, read and agree to abide by the terms of the Brokerage Account Agreement, which contains a pre-dispute arbitration clause on page 4 at section 29.

Signatures
Applicant/Authorized Person's Signature Date
Co-Applicant/Authorized Person's Signature Date
If applying for margin, Trading Manager/Member's signature
Registered Principal

Additional Documentation

The documents listed below are most commonly used, but requirements vary by state. Please contact your local branch office for state-specific information and acceptable alternate documentation.

- A copy of the Company's state-issued registration.
- A copy of the Company's Articles of Organization.
- If the Company has only one Manager/Member and is considered a Disregarded Entity, the Manager/Member's SSN can be used instead of the Company's EIN; as long as an LOA is provided that states that the Company has only one Manager/Member.

Available Account Features

- Account Linking
- Bank Deposit Program
- Margin
- Online Money Direct® Deposits (ACH)
- Options Trading
- Scottrade Bank Account
- TOD Beneficiary Plan
- Trading Authorization