

# LLP Account Opening Instructions

## Required Forms and Agreements

Please print legibly – do not use correction fluid or cross out information.

### 1) Brokerage Account Application

- **Applicant** – Partnership's information.
- **Co-Applicant** – Trading Partner's personal information
- **Signatures** – Trading Partner signs as Co-Applicant.
- **Additional Trading Partners** – up to two additional Trading Partners may be added to the account by submitting a second Brokerage Account Application with each Partner's information and signature.
- **Non-Partners** – must submit Trading Authorization form in order to place trades for the account.

### 2) Brokerage Account Agreement

Before signing the Application, read the Agreement and retain a copy for your records. You do not need to return this document.

### 3) Privacy Statement

Please read this document and retain a copy for your records. You do not need to return this document.

### 4) LLP Certification

Must be signed by all General Partners.

**Scottrade** BROKERAGE ACCOUNT APPLICATION

How did you hear about us?  
 Internet  
 TV/Radio Ad  
 Print Ad  
 News Article  
 Already a Client  
 Referral/Phone Call

Select an Account:  
 Individual - type  
 Trust  
 Estate  
 Joint - type  
 Partnership - type  
 Custodial  
 Conservator/Guardianship  
 IRA - type  
 Corporate - type  
 Qualified Plan - type  
 Coverdell ESA  
 Investment Club  
 Update Acct.#  
 Non-Corporate Org

**Applicant** Information about the primary account holder - depending on the account type, this may be the minor, protected person, or organization (trust, corporation, partnership, etc.)  
 Name of Individual/Organization - (For individuals, list first, middle & last names)  
 Street Address - P.O. box or PO address not permitted  
 City, State, ZIP plus 4 (legal residence)

**Co-Applicant** Information about the secondary account holder - depending on the account type, this may be a custodian, trustee, trading officer/partner, or other authorized representative.  
 Name, First, Middle, Last  
 Street Address - P.O. box or PO address not permitted  
 City, State, ZIP plus 4 (legal residence)

**Account Email Address**  
 Phone Numbers - Home, Cell, Work (check preferred)  
 Social Security/Tax ID #, Date of Birth, Are you a U.S. citizen? (Yes - skip to Employment; No - prepare and attach)

**Employment**  
 Are you a permanent U.S. resident? (Yes - Alien Registration Number; No - indicate visa type)  
 If you will be in the U.S. 183 days or less, contact our International Department for assistance.  
 Employment: Employed - list occupation, Self-employed, Unemployed, Homemaker, Student, Retired  
 Employer or occupation if self-employed, Employer Address

**Additional Services**  
 Margin - sign Margin section below. Not available for IRAs, Custodial accounts, Coverdell ESAs, Conservatorships, Guardianships or Estate accounts.  
 Options - complete an Options Application\*\*  
 Account Transfer - complete an Account Transfer Form\*\*

**Dividends & Interest**  
 Dividends (if not to be reinvested)  
 Interest (if not to be reinvested)  
 Dividends & Interest: Mail or Hold

Under penalties of perjury, I certify that:  
 1) The number shown on this form is my correct taxpayer identification number (or I am waiting for a number to be issued to me).  
 2) I am not subject to backup withholding because: (a) I am exempt from backup withholding, (b) I have not been notified by the IRS that I am subject to backup withholding as a result of failure to report all interest or dividends, or (c) the IRS has notified me that I am no longer subject to backup withholding, if you are subject to backup withholding, cross out item 2. The IRS does not require your consent to any provision of this document other than the certification required to avoid backup withholding.  
 3) I am a U.S. person (including a U.S. resident alien).

By signing this Application, I acknowledge that I have received, read and agree to abide by the terms of the accompanying Brokerage Account Agreement, which contains a pre-dispute arbitration clause on page 4 at section 29.

Applicant/Authorized Person's Signature: Leave blank  
 Co-Applicant/Authorized Person's Signature: Trading Partner's signature

Margin - sign below ONLY if you are applying for margin privileges.  
 By signing this Application I acknowledge that I have received, read and agree to abide by the terms of the Margin Accounts provisions starting at Section 65.  
 Leave blank

\*SF1000\*  
 SF1000/1-11  
 Trading Partner's Signature, if applying for margin and allowable by their agreement.

For Scottrade Use Only  
 Registered Principal

## Additional Documentation

- A copy of the Partnership Agreement, signed by all General Partners.

## Available Account Features

- Account Linking
- Bank Deposit Program
- Margin
- Online Money Direct® Deposits (ACH)
- Options Trading
- Scottrade Bank Account
- TOD Beneficiary Plan
- Trading Authorization