

LLP Account Opening Instructions

Required Forms & Agreements

Please print legibly – do not use correction fluid or cross out information.

1) Brokerage Account Application

- **Applicant** – Partnership's information.
- **Co-Applicant** – Trading Partner's personal information.
- **Signatures** – Trading Partner signs as Co-Applicant.
- **Additional Trading Partners** – up to two additional Trading Partners may be added to the account by submitting a second Brokerage Account Application with each Partner's information and signature.
- **Non-Partners** – must submit Trading Authorization form in order to place trades for the account.

2) Brokerage Account Agreement

Before signing the Application, read the Agreement and retain a copy for your records. You do not need to return this document.

3) LLP Certification

Must be signed by all General Partners.

Scottrade
BROKERAGE ACCOUNT APPLICATION

How did you hear about us?
 Internet
 TV/Radio Ad
 Print Ad
 News Article
 Already a Client
 Referral/Intro Code

Select an Account:
 Individual Joint - type:
 Trust Partnership - type:
 Estate Custodian Conservator/Guardianship
 IRA - type:
 Corporate - type:
 Qualified Plan - type:
 Coverdell ESA
 Investment Club
 Update Acct.#
 Non-Corporate Org

Applicant
Information about the primary account holder - depending on the account type, this may be the minor, protected person, or organization (trust, corporation, partnership, etc.).

Name of Individual/Organization - if an individual, list first, middle & last names
 Mr. Ms. Ms.
Partnership's Information including street address and EIN.

Street Address: P.O. box or PO address not permitted
 City State ZIP plus 4 (legal residence)

Account Email Address
 Phone Numbers: Home, Cell, Work (check preferred)
 Social Security/Tax ID # Date of Birth Are you a U.S. citizen? Yes/No (complete next section)

Leave Date of Birth, Citizenship, and Employment blank.

Co-Applicant
Information about the secondary account holder - depending on the account type, this may be a custodian, trustee, trading officer/partner, or other authorized representative.

Name First Middle Last
 Mr. Ms. Ms.
Trading Partner's personal information.

Street Address: P.O. box or PO address not permitted
 City State ZIP plus 4 (legal residence)

Account Email Address
 Phone Numbers: Home, Cell, Work (check preferred)
 Social Security/Tax ID # Date of Birth Are you a U.S. citizen? Yes/No (complete next section)

To be completed by Trading Partner.

Relationship Information
 Country of citizenship:
 Are you a permanent U.S. resident? Yes/No (1) indicate visa type (2) submit a U.S. Visa Holder Statement (form SF1039)
 If you will be in the U.S. 183 days or less, contact our International Department for assistance.

Employment
 Employed - list occupation
 Self-employed Unemployed Homemaker Student Retired
 Employer (or occupation if self-employed)
 Employer Address

Are you a permanent U.S. resident?
 Yes No (is any applicant employed by or affiliated with a securities firm, a securities exchange, or FINRA? If yes, provide organization name and compliance department address.)

Are you a permanent U.S. resident?
 Yes No (is any applicant a control person 10% shareholder, member of this an Online Trading Account?)
 Yes - Trade confirmations and monthly account statements (to receive paper copies, log into your account)
 No - Non-Internet commissions apply. (fees may apply).

Dividends & Interest: Proceeds: Mail or Hold Mail or Hold

Additional Services: Margin - sign Margin section below. Not available for IRAs, Custodial accounts, Coverdell ESAs, Conservatorships, Guardianships or Estate accounts. Options - complete an Options Application** Account Transfer - complete an Account Transfer Form** **Go to the Scottrade.com Forms Center, or contact us to have the form sent to you.

Under penalties of perjury, I certify that:
 1) The number shown on this form is my correct taxpayer identification number (or I am waiting for a number to be issued to me).
 2) I am not subject to backup withholding because: (a) I am exempt from backup withholding, (b) I have not been notified by the IRS that I am subject to backup withholding as a result of failure to report all interest or dividends, or (c) the IRS has notified me that I am no longer subject to backup withholding. If you are subject to backup withholding, cross out item 2. The IRS does not require your consent to any provision of this document other than the certification required to avoid backup withholding.
 3) I am a U.S. person (including a U.S. resident alien).

By signing this Application, I acknowledge that I have received, read and agree to abide by the terms of the accompanying Brokerage Account Agreement, which contains a pre-dispute arbitration clause on page 4 at section 29.

X Leave blank X Trading Partner's signature
 Applicant/Authorized Person's Signature Date Co-Applicant/Authorized Person's Signature Date

Margin - sign below ONLY if you are applying for margin privileges
 By signing this Application I acknowledge that I have received, read and agree to abide by the terms of the Margin Accounts provisions starting at Section 55.

SF1000
 SF1000/1-11
 X Leave blank X Trading Partner's Signature
 Authorized Person's Signature Date Co-Applicant/Authorized Person's Signature Date

For Scottrade Use Only
 Registered Principal

Additional Documentation

- A copy of the Partnership Agreement, signed by all General Partners.

Available Account Features

Restrictions may apply; contact your local branch office to confirm eligibility.

- Account Linking
- Bank Deposit Program
- Check Writing
- Margin
- Online Money Direct® Deposits (ACH)
- Options Trading
- Scottrade Bank Account
- TOD Beneficiary Plan
- Trading Authorization