



Account Name \_\_\_\_\_

帳戶名稱

**MUTUAL FUND SYSTEMATIC  
TRADE AUTHORIZATION****共同基金系統性交易授權書**

Complete this form to establish periodic purchases or redemptions for mutual funds which you currently hold positions. **Please use a separate form for each cusip/symbol and for each purchase/redemption you wish to set up a systematic transaction for.** Accounts may have two systematic purchases and/or redemptions per symbol per month. Investment amounts must comply with the fund company's preset minimum subsequent investment requirements or \$100.00, whichever is greater. If your systematic trade date falls on a weekend or holiday the transaction will take place on the previous business day within the same processing month. The day of the month specified on this form is the trade date. Please take this into consideration if you require cleared funds by a specific date. If you are purchasing, Scottrade will automatically enter a trade regardless of whether or not cash is available at the time of purchase. You should take steps to make sure cash is available at the time of purchase, however you will be required to satisfy any debit in your account that is a result of a systematic transaction. Proceeds from systematic redemptions will be deposited into your Scottrade account. Please contact your broker to have a check mailed to you. IRA's will require a distribution form. Some mutual funds may not be eligible for systematic transactions, please contact your broker or consult our website for restrictions. Scottrade will assess a \$2.00 fee per systematic trade execution for Systematic Mutual Fund trades established for no load funds that are not on the no transaction fee list.

請完整填寫本表格，以便定期系統性買入或賣出你目前持有的共同基金。請使用不同張表格分別填寫每一個您希望建立系統性交易的證券的代號或代碼。帳戶中每個月每個證券代號可以有兩次系統性購買和/或賣出。投資額必須符合基金公司事先規定的最低後續投資要求或 100 美金（以金額大者為準）。如果你的系統性交易日落在周末或假日，交易則將提前在同一處理月份內的前一營業日進行。在本表中指定的月份日期就是交易日期。如果你需要在某個特定日期前結清基金，請將其納入考慮範圍。如果你要買入，而不管你是否具有現金，史考特將自動進行交易。你應該採取措施確保在購買時有足夠的現金，而且你必須馬上補足因系統性交易所產生帳戶內不足的金額。從定期賣出中所得金額將存入你的史考特帳戶。請聯繫你的經紀人郵寄支票給你。個人退休帳戶要求有一份提領分配表單。某些共同基金可能不符合系統性交易的條件，請聯繫你的經紀人或察看我們的網站瞭解有關的限制規定。對於不在無交易費基金列表中的無銷售費（no load）基金，史考特系統自動交易會每次收取\$2 費用。

Scottrade will access a \$2.00 fee per systematic trade execution for Systematic Mutual Fund trades established for no load funds that are not on the no transaction fee list.

史考特將對不在無交易費無銷售費列表中的共同基金的每次定期系統性交易收取\$2.00 的費用。

**PLEASE COMPLETE EITHER SECTION A OR B**

請用英文完整填寫 A 或 B 部分

<b>A. SYSTEMATIC MUTUAL FUND PURCHASE (Please use separate form for each symbol)</b> <b>A.共同基金系統性自動買入（每一個代號請用分別的表格）</b>	
MUTUAL FUND CUSIP OR SYMBOL 共同基金代號或代碼	
FUND NAME 基金名稱	
DOLLAR AMOUNT OF SYSTEMATIC PURCHASE 系統性買入的金額	\$
DATES OF THE MONTH THE TRANSACTION IS TO TAKE PLACE (The first transaction cannot be sooner than 10 days from the day this form is received by your broker.) (You may have two transactions per month, per symbol) 交易發生的月份日期（第一筆交易只能在您的經紀人收到此表格 10 天後發生。） （每個證券每個月隻可進行兩次交易）	
and 以及	
MONTH(S) OR CYCLE (Please choose one or more) 按月或週期（請選擇一項或多項）	
<input type="checkbox"/> Jan <input type="checkbox"/> Feb <input type="checkbox"/> Mar <input type="checkbox"/> Apr <input type="checkbox"/> May <input type="checkbox"/> Jun <input type="checkbox"/> Jul <input type="checkbox"/> Aug <input type="checkbox"/> Sept <input type="checkbox"/> Oct <input type="checkbox"/> Nov <input type="checkbox"/> Dec 1月    2月    3月    4月    5月    6月    7月    8月    9月    10月    11月    12月 <input type="checkbox"/> Every Month 每月 <input type="checkbox"/> Quarterly (Mar, Jun, Sept, Dec) 每季（3月、6月、9月、12月） <input type="checkbox"/> Semi-Annual (Jun, Dec) 每半年（6月、12月）	

