

Scottrade Privacy Statement

FACTS	WHAT DOES SCOTTRADE DO WITH YOUR PERSONAL INFORMATION?
Why?	Financial companies choose how they share your personal information. Federal law gives consumers the right to limit some but not all sharing. Federal law also requires us to tell you how we collect, share and protect your personal information. Please read this notice carefully to understand what we do.
What?	The types of personal information we collect and share depend on the product or service you have with us. This information can include: • Social Security number and Employment information • Account balances and transaction history • Credit history and Investment experience
How?	All financial companies need to share customers' personal information to run their everyday business. In the section below, we list the reasons financial companies can share their customers' personal information, the reasons Scottrade chooses to share, and whether you can limit this sharing.

Reasons we can share your personal information		Does Scottrade share?	Can you limit this sharing?
For our everyday business purposes – such as to process your transactions, maintain your account(s), respond to court orders and legal investigations, or report to credit bureaus		Yes	No
For our marketing purposes – to offer our products and services to you		Yes	No
For joint marketing with other financial companies		Yes	No
For our affiliates' everyday business purposes – information about your transactions and experiences		Yes	No
For our affiliates' everyday business purposes – information about your creditworthiness		Yes	Yes
For our affiliates to market to you		Yes	Yes
For non-affiliates to market to you		No	We don't share
To limit our sharing	Call 800.619.7283 – our menu will prompt you through your choices. Please note: If you are a new customer, we can begin sharing your information 30 days from the date we sent this notice. When you are no longer our customer, we continue to share your information as described in this notice. However, you can contact us at any time to limit our sharing.		
Questions?	Call 800.619.7283 or go to www.scottrade.com .		
Who we are			
Who is providing this notice?	Scottrade, Inc.; Scottrade Bank, FSB; Boulevard Bank; Scottrade Investment Management, Inc.		

Scottrade Privacy Statement

What we do	
How does Scottrade protect my personal information?	To protect your personal information from unauthorized access and use, we use security measures that comply with federal law. These measures include computer safeguards and secured files and buildings.
How does Scottrade collect my personal information?	We collect your personal information, for example, when you: • Open an account or provide account information • Give us your contact information or make a wire transfer • Make deposits or withdrawals from your account We also collect your personal information from others, such as credit bureaus, affiliates or other companies.
Why can't I limit all sharing?	Federal law gives you the right to limit only: • Sharing for affiliates' everyday business purposes – information about your creditworthiness • Affiliates from using your information to market to you • Sharing for non-affiliates to market to you State laws and individual companies may give you additional rights to limit sharing. See below for more on your rights under state law.
What happens when I limit sharing for an account I hold jointly with someone else?	Your choices will apply to everyone on your account.
Definitions	
Affiliates	Companies related by common ownership or control. They can be financial and nonfinancial companies. Our affiliates include Boulevard Bank and companies with a Scottrade name.
Non-affiliates	Companies not related by common ownership or control. They can be financial and nonfinancial companies. Scottrade does not share with non-affiliates so they can market to you.
Joint marketing	A formal agreement between non-affiliated financial companies that together market financial products or services to you. Our joint marketing partners include mortgage lenders, credit card companies and other financial service providers.
Other important information	
We will continue to share information to the extent permissible by law. We may further limit information sharing to comply with more restrictive state laws: • For accounts with a Vermont mailing address – We do not share information about your creditworthiness with any of our affiliates without your consent; however, we may share information about transactions and experiences with you without your consent. • For accounts with a California mailing address – California residents receive an additional notice describing the privacy choices available under California law.	

Scottrade, Inc. and Scottrade Bank are separate but affiliated companies and are wholly-owned subsidiaries of Scottrade Financial Services, Inc. Brokerage products and services offered by Scottrade, Inc. – Member FINRA and SIPC.

Brokerage products are not insured by the FDIC – are not deposits or other obligations of the bank and are not guaranteed by the bank – are subject to investment risks, including possible loss of the principal invested.

Deposit products and services offered by Scottrade Bank, Member FDIC. Boulevard Bank, Member FDIC.

California Privacy Notice

Important Privacy Choices for California Residents

You have the right to control whether we share some of your personal information. Please read the following information carefully before you make your choices below.

Your Rights

You have the right to restrict the sharing of personal and financial information with our affiliates (companies we own or control) and outside companies with whom we do business. Nothing in this form prohibits the sharing of information necessary for us to follow the law, as permitted by law, or to give you the best service on your accounts with us. This includes sending you information about some other products or services.

Your Choices

Restrict Information Sharing With Affiliates: Unless you instruct us otherwise, we may share personal and financial information about you with companies we own or control.

Restrict Information Sharing With Outside Companies: Unless you instruct us otherwise, we may share personal and financial information about you with outside companies with whom we do business to provide financial products and services.

Time-Sensitive Reply

You may make your privacy choices at any time; however, if we do not hear from you, we may share some of your information with affiliated companies and outside companies as described above.

To exercise your choices: Complete one of the enclosed forms for each account, then submit to us in the enclosed return envelope or fax to 855.339.5128 (Attn. Privacy Department).

Scottrade Information Privacy Request – California Residents ONLY

The choice(s) indicated below will remain unless you state otherwise.

☐ Don't share my personal & financial information with your affiliated companies.

☐ Don't share my personal & financial information with outside companies with whom you do business.

Account Holder: _____

Account Number: _____ Email (if applicable): _____

Signature: _____ Date: _____